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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/040,837	01/07/2002	Andrew C. Gilbert	CF-51

1473  
FISH & NEAVE  
1251 AVENUE OF THE AMERICAS  
50TH FLOOR  
NEW YORK, NY 10020-1105



CONFIRMATION NO. 2702

FORMALITIES LETTER



\*OC00000007430088\*

Date Mailed: 02/06/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/12/2002 MBERHE 00000020 10040837

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EXPRESS MAIL LABEL  
EV045437618US



**PATENTS**  
**CF-51**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## PATENT APPLICATION

Applicants : Andrew C. Gilbert et al.  
Application No. : 10/040,837 Confirmation No.: 2702  
Filed : January 7, 2002  
For : METHODS AND SYSTEMS FOR PROVIDING  
CROSSING MARKETS  
Group Art Unit : 2161

New York, New York 10020  
July 3, 2002

Box MISSING PARTS  
Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, Virginia 22202

**REPLY TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

Sir:

In reply to the Notice To File Missing Parts of Nonprovisional Application mailed February 6, 2002, applicants hereby submit the following:

1. A copy of the February 6, 2002 Notice To File Missing Parts Of Nonprovisional Application;
  2. A partially-executed Declaration And Power Of Attorney;
  3. A Petition For Filing When An Inventor's Legal Representative Refuses To Sign Pursuant To 37 C.F.R. § 1.47 and a file copy of a January 15, 2002 letter to the legal representative;

4. A Last Known Address Of Nonsigning Legal Representative Of Deceased Inventor Pursuant to 37 C.F.R. § 1.47;

5. A check in the amount of \$ 130.00 in payment of the petition fee set forth in 37 C.F.R. § 1.17(h);

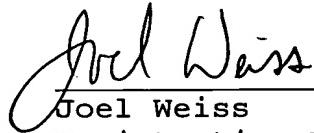
6. A check in the amount of \$ 130.00 in payment of the surcharge set forth in 37 C.F.R. § 1.16(e);

7. A Petition For Extension Of Time Pursuant To 37 C.F.R. § 1.136(a); and

8. A check in the amount of \$ 920.00 in payment of the 3-month extension fee set forth in 37 C.F.R. § 1.17(a)(3).

Please charge any underpayment, or credit any overpayment, in connection with this Reply to Deposit Account No. 06-1075. A duplicate copy of this Reply is enclosed.

Respectfully submitted,

  
Joel Weiss

Registration No. 44,398  
Attorney for Applicants  
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Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1105  
(212) 596-9000



07-08-02

T.O.

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PATENTS  
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EXPRESS MAIL CERTIFICATIONEXPRESS MAIL LABEL NO. EV045437618USDate of Deposit: July 3, 2002.

I hereby certify that this paper/fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to Box MISSING PARTS, Hon. Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

  
William Bailey

Enclosures:

REPLY TO NOTICE TO FILE MISSING PARTS (IN DUPLICATE)  
COPY OF FEBRUARY 6, 2002 NOTICE TO FILE MISSING PARTS  
PARTIALLY-EXECUTED DECLARATION AND POWER OF ATTORNEY  
PETITION FOR FILING WHEN AN INVENTOR'S LEGAL  
REPRESENTATIVE REFUSES TO SIGN  
COPY OF LETTER TO LEGAL REPRESENTATIVE  
LAST KNOWN ADDRESS OF NONSIGNING LEGAL REPRESENTATIVE  
PETITION FOR EXTENSION OF TIME (IN DUPLICATE)  
CHECKS IN THE AMOUNT OF \$ 130.00, \$ 130.00, AND  
\$ 920.00  
RETURN POSTCARD



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CF-51

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Arlington, Virginia 22202

LAST KNOWN ADDRESS OF NONSIGNING  
LEGAL REPRESENTATIVE OF DECEASED INVENTOR  
PURSUANT TO 37 C.F.R. § 1.47

Full Name Of Deceased Inventor Andrew C. Gilbert  
Legal Representative (Wife) Mary Ann Gilbert  
Last Known Address 5 Scarlet Oak Road  
Califon, New Jersey 07830  
Last Known Post Office Address SAME

Respectfully submitted,

*Joel Weiss*  
Joel Weiss (Reg. No. 44,398)  
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